



Proposal Data Request

<p>Company Information</p> <p>Company Name: _____</p> <p>Proposal Contact Name: _____</p> <p>Proposal Contact Title: _____</p> <p>Proposal Contact E-Mail: _____</p> <p>Proposal Contact Phone: _____</p> <p>Number of Employees: _____</p> <p>Payroll Company: _____</p> <p>Plan Information (existing plan)</p> <p>Plan Name: _____</p> <p>Plan Assets: _____</p> <p>Total Plan Participants: _____</p> <p>Current Provider: _____</p>	<p>Advisor Information</p> <p>Advisor Name: _____</p> <p>Advisor Firm: _____</p> <p>Advisor E-Mail: _____</p> <p>Advisor Phone: _____</p> <p>Advisor Annual Fee: _____</p>																																				
Start-up Plan	<p>1) Provide a census (sample below) that includes the following: Name, Ownership %, Family Relationship to Owner, M/F, Date of Birth, Date of Hire, Gross Wages, Hours Worked > 1000 (Y/N)?</p> <table border="1" style="width:100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th>Last Name</th> <th>First Name</th> <th>Ownership %</th> <th>Family Relationship to Owner</th> <th>M/F</th> <th>Date of Birth</th> <th>Date of Hire</th> <th>Gross Wages</th> <th>Hours Worked > 1000 ? (Y/N)</th> </tr> </thead> <tbody> <tr style="background-color: #f2f2f2;"> <td>Doe</td> <td>John</td> <td>1</td> <td>Principal</td> <td>M</td> <td>mm/dd/yyyy</td> <td>mm/dd/yyyy</td> <td>50000</td> <td>X</td> </tr> <tr> <td> </td> <td> </td> <td> </td> <td> </td> <td> </td> <td> </td> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> <td> </td> <td> </td> <td> </td> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table> <p>2) Provide a narrative of Owners/Partners objectives for themselves.</p>	Last Name	First Name	Ownership %	Family Relationship to Owner	M/F	Date of Birth	Date of Hire	Gross Wages	Hours Worked > 1000 ? (Y/N)	Doe	John	1	Principal	M	mm/dd/yyyy	mm/dd/yyyy	50000	X																		
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Existing Plan	<p>For a complete proposal including fee/investment analysis of current Plan, please provide:</p> <p>1) <i>Most recent quarterly investment summary that provides name of fund and total \$ value per fund;</i></p> <p>2) <i>Current Contract(s) with Plan Provider(s) with most recent 404(a)(5) and 408(b)(2) Disclosure Notices.</i></p> <p>For a consulting analysis of current Plan, please provide:</p> <p>1) <i>Plan Document, Adoption Agreement and/or Summary Plan Description;</i></p> <p>2) <i>Most recent Year End Valuation Report that includes discrimination testing;</i></p> <p>3) <i>A brief narrative of objectives and/or current issues.</i></p>																																				