181 South Franklin Avenue, Suite 202
Valley Stream, NY 11581
Phone: (212) 947-4800
Fax: (212) 947-4866
support@rpgconsultants.com

SELF-DIRECTED BROKERAGE ACCOUNT TRANSFER FORM

Plan Name

Instructions:

1) Confirm with your Employer that you are eligible to transfer funds to an SDBA.
2) Set up an SDBA with your Plan's Custodian. See your Employer for more information.
3) Enter Company name above and complete ALL fields in Sections A and B.
4) Check the appropriate box in section B
5) Print and sign your name, and date the form in Section C.
6) Email or fax the completed form to RPG Client Services Department.

SECTION A – PERSONAL INFORMATION

Name: ______________________ E-Mail Address: ______________________

Address: ______________________ Home Telephone #: ______________________

Apt/Suite: ______________________ Date of Birth: ______________________

City, State, Zip: ______________________ Social Security #: ______________________

SECTION B – SDBA TRANSFER INSTRUCTIONS

For wires only

Transfer Amount: $ ______________________ Bank Name: ______________________

SDBA Institution: ______________________ Bank Routing #: ______________________

SDBA Number: ______________________ Bank Account #: ______________________

Check payable to: ______________________

Check mailed to: ______________________

Address, City, Zip Code

☐ I hereby authorize RPG Consultants to liquidate***** the above amount from my portion of my Plan's pooled account and transfer it into the Self-Directed Brokerage Account listed above. I understand that fully-vested funds will be transferred first. If money is not fully vested, the remaining funds will go into a second account to be tracked separately (Account #2).

☐ I hereby authorize RPG Consultants to liquidate the above amount and transfer it out of my SDBA account(s) listed above and into my portion of my Plan's pooled account.

NOTE: SDBA Transfer requests are subject to a **$25 processing fee plus applicable Custodial fees.**

SECTION C - SIGNATURE

Participant: __________ ______________________ ______________________

Date Name (Please Print) Signature

*****Liquidation of an account is done pro-rata across all funds in your account and all money-sources in accordance with Plan provisions unless other written instructions are provided.