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ROLLOVER ACCEPTANCE FORM FOR QUALIFIED RETIREMENT ASSETS

This form will enable you to have roll over assets from a Qualified Retirement Plan or IRA into your current Employer-sponsored Qualified Retirement Plan on the RPGFocus Retirement Platform. Please be aware that rollovers are not permitted from Roth IRAs. If you are seeking to rollover a SIMPLE IRA, the IRA must have been opened more than two years before a rollover is permitted. In order process a rollover into your retirement account on the RPGFocus Platform, kindly complete, sign and return this form along with the following documentation to the RPG Support Department by email, fax, or secure file upload available at https://files.rpgconsultants.com/filedrop/Support:

- A recent account statement detailing the breakdown of Money Types/Sources (pre-tax vs. Roth vs. after-tax).
- If your rollover contains Roth assets, your statement must include a breakdown (since inception) of the Roth basis amount or recovered contributions, as well as the first year that Roth contributions were made
- If your rollover contains after-tax assets, your statement must include a breakdown (since inception) of after-tax contributions and earnings
- If you are already in possession of your rollover check, please email us a copy or image of the check with your form

SECTION A – PERSONAL INFORMATION

Plan/Employer Name: ______________________________

Name:	____________	E-Mail Address:	____________
Address:	____________	Home Telephone #:	____ - ____ - ____
Apt/Suite:	____________	Date of Birth:	____ / ____ / ____
City, State, Zip:	____________	Date of Hire:	____ / ____ / ____
Social Security #:	____________		

SECTION B – ROLLOVER ASSET INFORMATION

Prior Employer/Plan Name (if applicable): ______________________________

Holding Financial Institution Name: ______________________________

Account Number: ______________________________

Account Type:

- ☐ Employer Sponsor Defined Contribution Plan (401k, 403b, 457b)
- ☐ Repaying Coronavirus Related Distribution (within 3 years of distribution)
- ☐ Traditional IRA
- ☐ Simple IRA
- ☐ SEP-IRA
- ☐ Other ______________________________

SECTION C – ROLLOVER ASSET TYPE

☐ Pre-tax Assets	Amount: $ ________		
☐ Roth Assets	Amount: $ ________	Basis Amount: $ ________	Year of First Contribution: ________
☐ After-tax Assets	Amount: $ ________	Total Earnings: $ ________	Total Contributions: $ ________

I certify that this rollover represents all or part of a qualified distribution from an IRA or former Employer's Qualified Retirement Plan received within the last 60 days, or a Coronavirus Related Distribution taken from an IRA or Qualified Retirement Plan within the last 3 years in accordance with the March 2020 CARES Act. I certify that no other assets are included in this rollover.

____________	____________	____________
Date	Name (Please Print)	Signature