



INVESTMENT CHANGE FORM

This form must be completed and returned to RPG in order to make any changes to the investment menu for your Plan. The signature of a Plan Trustee or 3(38) Fiduciary is required. RPG will prescreen all incoming investments and will report any fund restrictions that may prohibit smooth operation. RPG does not guarantee the results of investment screenings. In some instances, the completion of additional fund company and/or custodial paperwork is required by the Plan Advisor or 3(38) Fiduciary. When determining the desired effective date for this investment change, be advised that Participants must receive 30 days advance notice of any changes to the Plan's investment menu. To allow for the necessary processing time and distribution of the required 30-day Participant notice, please allow at least 45 days from the time this form is submitted to RPG in good order. Please enter the desired effective date for the investment changes below (must be a specific date; "ASAP" will not be accepted):

Plan Name:		Effective Date:	
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Qualified Default Investment Alternatives (QDIA): Any change to the Plan's current QDIA menu will require a revised QDIA Notice to be prepared and distributed to Plan Participants. Will this investment change add new options to the Plan's QDIA menu?
 Yes ☐ (Complete the grid below) No ☐ (Skip to the mapping section below)

Symbol	Investment Name	DOB Range
		- - - - -
		- - - - -
		- - - - -
		- - - - -
		- - - - -
		- - - - -
		- - - - -

Symbol	Investment Name	DOB Range
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		- - - - -
		- - - - -
		- - - - -
		- - - - -
		- - - - -
		- - - - -

Investment/Allocation Mapping: When completing the mapping/allocation instructions below, you may consolidate two or more existing funds into a single replacement fund. You may also split an existing fund into two or more replacement funds. Will you be mapping/transferring existing assets and/or updating investment allocations of future contributions from one investment to another, including the Plan's current QDIA investments?

Yes ☐ (Complete the grid below) No ☐ (Skip to the investment addition section below)

Symbol	Investment Name	Mapping Allocation	Symbol	Investment Name	Update Future Allocations?
		%			Yes ☐ No ☐
		%			Yes ☐ No ☐
		%			Yes ☐ No ☐
		%			Yes ☐ No ☐
		%			Yes ☐ No ☐
		%			Yes ☐ No ☐

Add/Remove Investments: In the grids below, enter investments that you would like to **add**, or **remove** (must have zero balance):

Add	Symbol	Investment Name
☐		
☐		
☐		
☐		
☐		

Remove	Symbol	Investment Name
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☐		
☐		
☐		
☐		

I authorize the above changes and acknowledge that fund replacements can take up to three business days to initiate and complete.

Date	Name (Please Print)	Signature
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